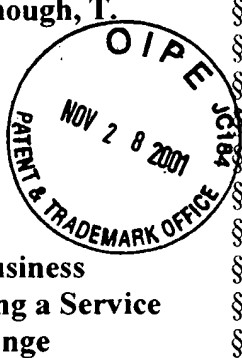


In re application: McDonough, T.

Serial No.: 09/539,132

Filed: March 30, 2000

For: Mechanism and Business  
Method for Implementing a Service  
Contract Futures Exchange



Group Art Unit: 2164

Examiner: Felton, D.

Attorney Docket No.: CMCDO.00001

RECEIVED  
NOV 30 2000  
Technology Center 2100

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 19, 2001.

By: 

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the guidelines for Information Disclosure Statements set forth in 37 C.F.R. §§ 1.97-1.98 and MPEP § 609, Applicant submits herewith patents, publications, or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 C.F.R. 1.56.

A list of patent(s) and/or publications(s) is set forth on the attached Form PTO-1449. A copy of each item listed is supplied herewith.

It is submitted that these references do not disclose, teach, or suggest the present invention and thus the claims herein are patently distinct therefrom.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

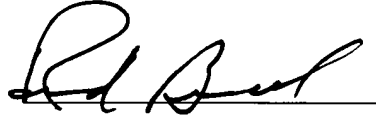
The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an

international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

The person making this statement is the practitioner who signs below.


Respectfully submitted,

November 19, 2001

A handwritten signature in black ink, appearing to read 'Rud Buchel', is written over a horizontal line.

Rudolph J. Buchel, Jr.  
*Registration No. 43,448*  
7113 Dobbins Drive  
Plano, Texas 75025

ATTORNEY FOR APPLICANT

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>CMCDO.00001</b>		SERIAL NO. <b>09/539,132</b>	
			APPLICANT <b>MCDONOUGH</b>		GROUP ART UNIT <b>2164</b>	
			FILING DATE <b>3/30/2000</b>			
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA	4,903,201	2/20/90	Wagner		
	AB	5,787,402	7/28/98	Potter, et al.		
	AC	5,974,403	10/26/99	Takriti, et al.		
	AD	6,035,289	3/7/00	Chou, et al.		
	AE	6,047,274	4/4/00	Johnson, et al.		
	AF	6,236,972	5/22/00	Shkedy		
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on November 19, 2001.

By: \_\_\_\_\_